

PTO/SB/21 (04-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/001,221
Filing Date	October 30, 2001
First Named Inventor	Schall, Thomas J.
Art Unit	1642
Examiner Name	Canella, Karen A.
Attorney Docket Number	019934-004100US

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

- | | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/
Incomplete Application
<input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input checked="" type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication
to Technology Center (TC)
<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please
identify below):
Return Postcard
Supplemental Application Data Sheet |
|---|---|---|
- Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

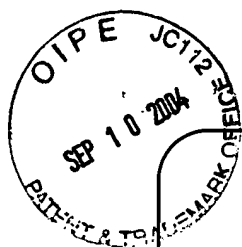
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP Scott L. Aussenhus	Reg. No. 42,271
Signature		
Date	09/07/04	

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Tara N. Damhoff		
Signature		Date	9.7.04



PTO/SB/17 (10-03)

DACA#
JAW**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 1330**Complete if Known**

Application Number	10/001,221
Filing Date	October 30, 2001
First Named Inventor	Schall, Thomas J.
Examiner Name	Canella, Karen A.
Art Unit	1642
Attorney Docket No.	019934-004100US

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number

20-1430

Deposit
Account
Name

Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	** =		
Independent Claims	** =		
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) Petition Under 37 CFR 1.78(a)(6) To Accept Unintentionally Delayed Claim for Priority to Prior Filed Provisional Application					1330

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)**

(\$1330)

SUBMITTED BY

Complete (if applicable)

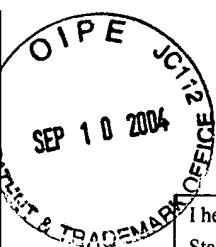
Name (Print/Type)	Scott L. Aussenhus	Registration No. (Attorney/Agent)	42,271	Telephone	303-571-4000
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Signature

Date

09/07/04

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P.O. Box 1450
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On 9.7.04

TOWNSEND and TOWNSEND and CREW LLP

By: Tara N. Damhoff

Tara N. Damhoff

PATENT

Attorney Docket No.: 019934-004100US
Customer No. 20350

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas J. Schall

Application No.: 10/001,221

Filed: October 30, 2001

For: COMPOSITIONS FOR INDUCING AN
IMMUNE RESPONSE (AMENDED)

Customer No.: 20350

Confirmation No. 2004

Examiner: Canella, Karen A.

Art Unit: 1642

PETITION UNDER 37 C.F.R. §1.78(a)(3)
and §1.78(a)(6) TO ACCEPT
UNINTENTIONALLY DELAYED
CLAIM FOR PRIORITY TO PRIOR
FILED PROVISIONAL APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the subject application be provided the benefit of a prior filed copending provisional application. To support this claim for priority, Applicants provide the following:

(i) The reference required by 35 U.S.C. § 119(e) and 37 C.F.R. §1.78(a)(3) and §1.78 (a)(6) is included in the attached supplemental application data sheet and is also being added under an Amendment filed concurrently herewith. The reference now recites:

This application is a continuation-in-part of U.S. Application No. 09/834,814, filed April 12, 2001, which is incorporated by reference in its entirety, and a

continuation-in-part of PCT Application PCT/US01/12162, filed April 12, 2001, both of which claim the benefit of U.S. Provisional Application No. 60/198,839, filed April 21, 2000.

(ii) The surcharge set forth in §1.17(t) in the amount of \$1330.00 is hereby authorized to be deducted from Deposit Account No. 20-1430.

(iii) Applicants hereby state that the entire delay between the date the claim was due under paragraph (a)(2)(ii) of 37 C.F.R. § 1.78 and the date on which this Petition was filed was unintentional.

As Applicants have complied with all requirements for claiming the benefit of PCT application PCT/US01/12162 and U.S. Provisional Application No. 60/198,839, and priority from the other named applications, Applicants respectfully request that priority be granted.

Respectfully submitted,



Scott L. Ausenhus
Reg. No. 42, 271

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 650-326-2400 / Fax: 415-576-0300
SLA:tnd
60300463 v1



Supplemental Application Data Sheet

Application Information

Application number:: 10/001,221
Filing Date:: 10/30/01
Application Type:: Regular
Subject Matter:: Utility
Title:: ~~METHODS AND COMPOSITIONS FOR~~
~~INDUCING AN IMMUNE RESPONSE~~
Attorney Docket Number:: 019934-004100US
Request for Early Publication:: No
Request for Non-Publication:: No
Small Entity?:: Yes
Petition included?:: No
Secrecy Order in Parent Appl.: No

Applicant No. 1 Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Thomas
Middle Name:: J.
Family Name:: Schall
City of Residence:: ~~Menlo Park~~ Palo Alto
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 2050 Mill Avenue 563 Homer Avenue
City of Mailing Address:: ~~Menlo Park~~ Palo Alto
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94025 94301

Applicant No. 2 Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Australia
Status:: Full Capacity
Given Name:: Maureen
Family Name:: Howard
City of Residence:: Los Altos
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 12700 Viscaino Road
City of Mailing Address:: Los Altos
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94022

Applicant No. 3 Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Robert
Family Name:: Berkovitz
City of Residence:: San Francisco
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 32 Dorland Street, #2
City of Mailing Address:: San Francisco
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94110

Applicant No. 4 Information

Applicant Authority Type::	Inventor	
Primary Citizenship Country::	Canada	
Status::	Full Capacity	
Given Name::	Brett	
Family Name::	Premack	
City of Residence::	San Carlos	<u>San Francisco</u>
State or Province of Residence::	CA	
Country of Residence::	US	
Street of Mailing Address::	409 Portofino Drive, Apt. 2	<u>155 Jackson, #2008</u>
City of Mailing Address::	San Carlos	<u>San Francisco</u>
State or Province of mailing address::	CA	
Country of mailing address::	US	
Postal or Zip Code of mailing address::	94070	94111

Applicant No. 5 Information

Applicant Authority Type::	Inventor	
Primary Citizenship Country::	Canada	
Status::	Full Capacity	
Given Name::	Dale	
Family Name::	Talbot	
City of Residence::	San Francisco	
State or Province of Residence::	CA	
Country of Residence::	US	
Street of Mailing Address::	67 Brentwood Avenue	
City of Mailing Address::	San Francisco	
State or Province of mailing address::	CA	
Country of mailing address::	US	
Postal or Zip Code of mailing address::	94025	94127

Correspondence Information

Correspondence Customer Number:: 20350

Representative Information

Representative Customer Number:: 20350

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
<u>This application</u>	<u>Continuation-in-part</u>	<u>09/834,814</u>	<u>04/12/2001</u>
<u>This application</u>	<u>Continuation-in-part</u>	<u>PCT/US01/12162</u>	<u>04/12/2001</u>
<u>09/834,814</u>	<u>An application claiming</u>	<u>60/198,839</u>	<u>04/21/2000</u>
<u>PCT/US01/12162</u>	<u>the benefit under 35</u>		
	<u>USC 119(e)</u>		

Assignee Information

Assignee Name:: ChemoCentryx
Street of mailing address:: 1539 Industrial Road
City of mailing address:: San Carlos
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94070